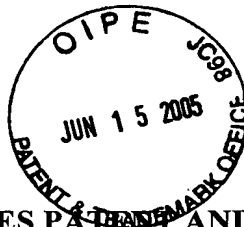


DOCKET NO. 3802-126-27 CONT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: De-Chao YU, et al.

ART UNIT: 1636

SERIAL NO.: 10/691,045

EXAMINER: Maria Marvich

FILING DATE: October 21, 2003

FOR: CELL-SPECIFIC ADENOVIRUS VECTORS COMPRISING AN INTERNAL
RIBOSOME ENTRY SITE

**REQUEST FOR PREPARATION OF A
COMPUTER-READABLE SEQUENCE LISTING**

ASSISTANT COMMISSIONER FOR PATENTS
PO BOX 1450
ALEXANDRIA, VA 22313-1450

SIR:

The attached paper copy of the substitute Sequence Listing for this application is identical to the last-filed computer-readable copy of the Sequence Listing of record in parent application Serial No. 09/814,351, now patented as U.S. Patent No. 6,692,736. In accordance with 37 C.F.R. §1.821(e), please use said last-filed computer-readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary changes in the application number and filing date and update the correspondence address and attorney/agent information, including docket number.

Respectfully submitted,

DLA PIPER RUDNICK GRAY CARY US LLP

Linda R. Judge
Registration No. 42,702

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Telephone No. (202) 861-3900
Facsimile No. (202) 223-2085

Notice to Comply

Application No.

10/691045

Examiner

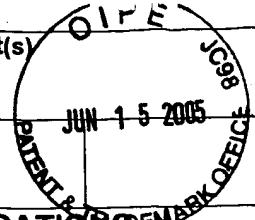
M. Marvich

Applicant(s)

Yu et al

Art Unit

1636



NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant must file the items indicated below within the time period set the Office action to which the Notice is attached to avoid abandonment under 35 U.S.C. § 133 (extensions of time may be obtained under the provisions of 37 CFR 1.136(a)).

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998).
 - ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
 - ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
 - ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
 - ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
 - ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
 - ☒ 7. Other there are sequences in the specification that are not accompanied by SEQ ID NO's.
- Applicant Must Provide:**
- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
 - ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
 - ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

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